



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/617,828

Confirmation No. 5222

Applicant : A. NAGASAKA et al.

Filed : July 14, 2003

Titled : PERSONAL AUTHENTICATION DEVICE

TC/AU : 2185

Examiner : TBD

Docket No. : ASA-1141

Customer No.: 24956

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination on the merits, Applicants respectfully request that the application be amended as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper; and

**Remarks** begin on page 9 of this paper.

10/06/2005 SZEWDIE1 00000119 10617828

01 FC:1201  
02 FC:1202

200.00 OP  
200.00 OP



ITW

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$)**530.00**

Complete if Known	
Application Number	10/617,828
Filing Date	July 14, 2003
First Named Inventor	A. NAGASAKA
Attorney Docket No.	ASA-1141

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check	<input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None
Order	
<input checked="" type="checkbox"/> Deposit Account:	
Deposit Account Number	50-1417
Deposit Account Name	MATTINGLY, STANGER & MALUR, P.C.
The Director is authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application.	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	

FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1011	2011	150	Utility filing fee	
1012	2012	105	Design filing fee	
1013	2013	100	Plant filing fee	
1014	2014	150	Reissue filing fee	
1005	2005	100	Provisional filing fee	
1111	2111	250	Utility Search fee	
1112	2112	50	Design Search fee	
1113	2113	150	Plant Search fee	
1114	2114	250	Reissue Search fee	
1311	2311	100	Utility Ex. fee	
1312	2312	65	Design Ex. fee	
1313	2313	80	Plant Ex. fee	
1314	2314	300	Reissue Ex. fee	
SUBTOTAL (1)				0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				
		Extra Claims	Fee from below	Fee Paid
Total Claims	24	-20 = 4	x 50	= 200.00
Indep. Claims	4	-3 = 1	x 200	= 200.00
Multiple Dependent			360	= 0.00
Large Entity Small Entity Fee Description				
Fee Code	Fee Code	Fee (\$)	Fee (\$)	
1202	2202	50	25	Claims in excess of 20
1201	2201	200	100	Independent claims in excess of 3
1203	2203	360	180	Multiple dependent claim, if not paid
1204	2204	200	100	** Reissue independent claims over original patent
1205	2205	50	25	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$				400.00

\*\*or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES				
Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1081	250	2081	125	Utility Application Size fee - for each 50 over 100
1082	250	2082	125	Design Application Size fee - for each 50 over 100
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action
1805	1,840	1805	1,840*	Requesting publication of SIR after Examiner action
1251	120	2251	60	Extension for reply within first month
1252	450	2252	225	Extension for reply within second month
1253	1020	2253	510	Extension for reply within third month
1254	1,590	2254	795	Extension for reply within fourth month
1255	2,160	2255	1,080	Extension for reply within fifth month
1401	500	2401	250	Notice of Appeal
1402	500	2402	250	Filing a brief in support of an appeal
1403	1000	2403	500	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	500	2452	250	Petition to revive - unavoidable
1453	1,500	2453	750	Petition to revive - unintentional
1501	1,400	2501	700	Utility issue fee (or reissue)
1502	800	2502	400	Design issue fee
1503	1,100	2503	550	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))
1801	790	2801	395	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application.
Other fee (specify)		1464	PETITION TO MAKE SPECIAL	130
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)
				130.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Colin D. Barnitz	Registration No. (Attorney/Agent)	35,061
Signature		Telephone	(703) 684-1120
		Date	October 5, 2005

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public (such as to file with the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is designed to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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